European Commission

JRC TECHNICAL REPORTS

The JRC-ENCR Quality Check Software (QCS) for the validation of cancer registry data: user compendium

JRC-ENCR QCS 2.0
JRC CSV Data layout converter

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Introduction

After the 2015 **call for data** the European Network of Cancer Registries (ENCR) and the European Commission Joint Research Centre (JRC) have launched a new data call for updating cancer indicators available in the European Cancer Information System (ECIS) web application (https://ecis.jrc.ec.europa.eu/).

Unlike the previous one, the 2021 call is a rolling process; cancer registries will have the possibility to update their data once per year. The new data call protocol is available in the ENCR website here.

In order to enable cancer registries to perform data quality checks and to test the adherence of their data to the required format of the ENCR-JRC data calls, starting from 2015 the JRC has been developing the JRC–ENCR Cancer Registries Data Quality Check Software (QCS) (https://encr.eu/tools-for-registries).

The present version of the JRC-ENCR QCS is based on the 2021 data call protocol, and the experience gathered after validating over 30 million cases from around 150 population-based cancer registries in 35 European Countries with previous JRC-ENCR QCS versions. In addition, feedback from European cancer registries and institutions was taken into account for the improvement of the JRC-ENCR QCS.

Version 2.0 of the JRC-ENCR QCS, which replaces version 1.8.1 distributed in 2019, includes the following features:

- checks on the data files format (for incidence, mortality, lifetables and population) and on variables names and order according to the data call protocol (see section 3.1.1 below)

- verification of variables' formats and values

- cross checks among variables (internal consistency)

- check of multiple primary tumours

The present report provides technical guidance to the software, and serves to help understand and interpret its output.

1 Software overview and changes from the previous versions

The JRC-ENCR Quality Check Software (QCS) version 2.0[1] is a stand-alone tool created for validating cancer-registries' data against the requirements of the latest ENCR-JRC call for data protocol for European population-based cancer registries. The majority of the checks are based on version 1.1 (2018 update) of the ENCR-JRC report "A proposal on cancer data quality checks: one common procedure for European cancer registries" (https://encr.eu/sites/default/files/inline-files/Cancer_Data_Quality_Checks_Procedure_Report_online_0.pdf). This report will be updated by the end of 2021.

QCS input files are incidence, mortality, population or life tables; the QCS output consists in a set of files containing warnings or errors found in the checked files.

In comparison to version 1.8.1, the 2021 version 2.0 release of the software includes the following changes and enhancements:

- ability to be run, in addition to the 2021 ENCR-JRC call for data protocol, also on the previous (2015) call for data protocol;

- creation of a separate software, the *JRC CSV Data layout converter* (QCS Buddy) that will assist users in the preparation of the file to be run by the QCS;

- new consistency check between topography, TNM Edition, TNM, and stage introduced;

- new consistency check between topography, TNM Edition, TNM, stage and morphology introduced;

- new consistency check between TNM edition and pM introduced;

- new check on TNM edition value introduced;

- All TNM Checks: update to 8th edition (6th and 7th editions were already included in QCS version 1.8.1);

- updated morphology families used by checks involving TNM and morphology according to the ICD-O-3.2 update;

- updated morphology families used by the multiple primary tumour checks according to the ICD-O-3.2 update;

- inclusion of behaviour 2 (in situ) and behaviour 1 (uncertain and unknown behaviour) urological tumours (C65-C68 ICD-O-3 codes) as well as behaviour 1 and behaviour 0 (benign tumours) central nervous systems tumours (C70-C72 and C751-C753) in the multiple primary tumour checks.

For the list of remaining known bugs and issues that will be addressed in a later release, please refer to ***Annex 1 – Known JRC-ENCR QCS issues and future improvements***.

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[1] Information on the QCS updates will be published on the following webpage: https://encr.eu/tools-for-registries

2 System requirements and installation

This software has been developed for Windows operating systems that support Java (Windows 7 and above).

Version 2.0 of the QCS can also run on macOS and Linux operating systems (see sections 2.5 and 2.6) below. Sections 2.1‑2.4 refer to Windows operating systems.

2.1 In case Java software is not installed on your computer

Java software is needed to run the JRC‑ENCR‑QCS. In case Java is not installed on your computer, please follow the following steps, otherwise go to **section 2.3.**

- Go to Java.com and click on the **Free Java Download** button;
- On the browser download page click on the **Agree and Start Free Download** button;
- The File Download dialog box appears, click on the **Save File** button;
- Double click on the downloaded file in the Download Manager window or where you normally save downloaded files;
- Depending on your security settings, you may be presented with dialog boxes asking for permission to continue. Confirm that you want to proceed with the installation;
- The installation process starts. Click the **Install** button to accept the license terms and to continue.

Please refer to the following screenshots, referring to Java Version 8 Update 181:

After having completed all the steps of the installation process going through several consecutive dialog boxes, click **Close** on the last one and the Java installation process is finally completed.

Once Java software is correctly installed, you can install the JRC-ENCR-QCS.

2.2 Further information and troubleshooting related to Java

If you need help in installing Java Runtime Environment installed on your machine, kindly ask to your System Administrator or local IT support to install it for you.

You will also need the JAVA_HOME environment variable correctly configured. Usually, this is done automatically. Please check with your System Administrator.

In Windows 7 (for other systems the procedure may vary) please refer to window *Start → Control Panel → System → Advanced System Settings → Environment variables* to configure the Java environment as follows:

Please refer to the next screenshot:

4
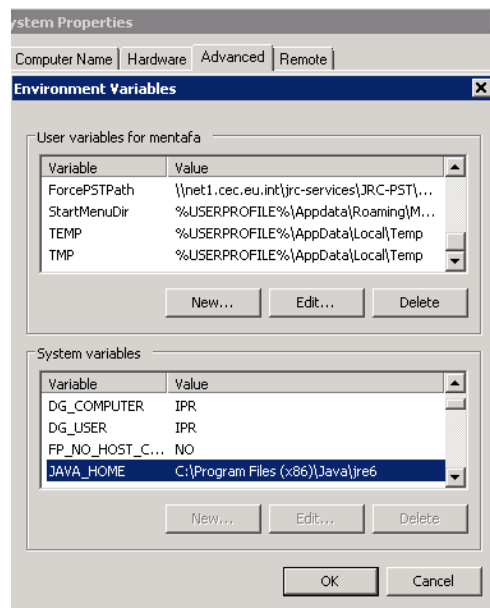
The official requirements for Java can be found here:
https://www.java.com/en/download/win_sysreq-sm.jsp

The required Java runtime environment can be downloaded from Oracle at
https://www.java.com
Remember to choose the correct version for your operating system (Windows 32 bit or Windows 64 bit).

Please note: there are two versions of Java environments, Java Developer Kit (JDK) and Java Runtime Environment (JRE). **Please install JRE**.

Detect older versions (8u20 and later versions).

Starting with Java 8 Update 20 (8u20), on Windows systems, the Java Uninstall Tool is integrated with the installer to provide an option to remove older versions of Java from the system. The change is applicable to 32 bit and 64 bit Windows platforms.

Notifications about disabled Java and restoring prompts

The installer notifies you if Java content is disabled in web browsers, and provides instructions for enabling it. If you previously chose to hide some of the security prompts for applets and Java Web Start applications, the installer provides an option for restoring the prompts. The installer may ask you to reboot your computer if you chose not to restart an internet browser when it prompted you to do so.

Test Installation

To test that Java is installed and working properly on your computer, run this test applet (https://www.java.com/en/download/help/testvm.xml).

NOTE: You may need to restart (close and re-open) your browser to enable the Java installation in your browser.

Further information on how to install Java without third party sponsor offers: (https://www.java.com/en/download/faq/disable_offers.xml)

2.3 How to install the QCS

Once you download the latest version of the software please extract file ***JRC-ENCR-QCS-V2.0.zip*** on your computer.
You will be able to access folder "*JRC-ENCR-QCS-V2.0*" with all the related subfolders.

2.4 Running the QCS on macOS

1. Double click the ZIP file: the package will be unzipped in a new folder, having the same name of the ZIP package (but without any extension)

2. Press the combination *Command-Shift-U* (Command is the key with the Mac symbol) to open the Utility window

3. Double click the Terminal icon (or label, depending by your view settings) to open a Terminal window

4. Enter the Terminal window and move into the folder created at **step 1**. For example, if the target QCS file was named "JRC-ENCR-QCS-V2.0.zip", then you should execute the command:

    cd Desktop/JRC-ENCR-QCS-V2.0

5. Execute the file having the extension ".sh". For example if the file is named "start-jrc-encr-qcs.sh", then type the command:

    ./start-jrc-encr-qcs.sh

2.5 Running the QCS on Linux operating systems

1. Unzip the ZIP file into the directory where to wish to install the application. For example, if the target QCS file was named "JRC-ENCR-QCS-V2.0.zip" you should execute the command

    unzip JRC-ENCR-QCS-V2.0.zip

2. Move to the folder created at step 1. For example:

    cd JRC-ENCR-QCS-V2.0/

3. Make sure the ".sh" file has permissions for execution. If not, assign it executable permissions by typing the command:

    chmod +x start-jrc-encr-qcs.sh

4. Execute the QCS by running the ".sh" file:

    ./start-jrc-encr-qcs.sh

2.6 Verify the correct installation

Navigate to the folder where you extracted the software and run it as specified in the next section of the manual, "*Running the Software*".

The expected directory structure is the following:
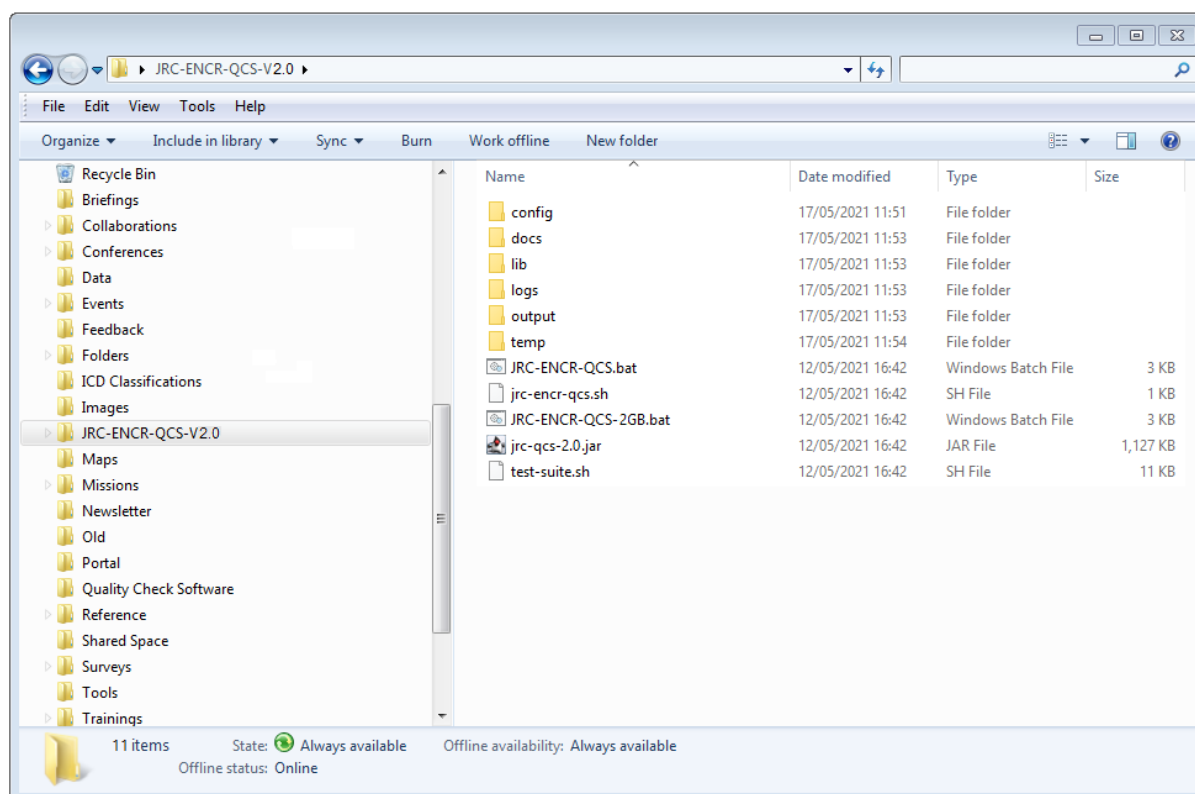
The folder *JRC-ENCR-QCS-V2.0* includes the following:

- The executable files *JRC-ENCR-QCS.bat* and *JRC-ENCR-QCS-2GB.bat;*
- Files *jrc-encr-qcs.sh* and *test-suite.sh*;
- The library *qcs-library-2.0.jar* file;
- Folders config, *docs, lib, logs, output, temp.*

File *JRC-ENCR-QCS.bat* will run the QCS with 1GB of RAM memory, whereas *JRC-ENCR-QCS-2GB.bat* will use 2GB of RAM memory.

File *jrc-encr-qcs.sh* is the standard SH script for running the application on the Linux system (see section 2.5 above)

config: this folder contains configurations files, such as those for values ranges, general application settings (with the possibility to disable some functionalities) and for the log file.

docs: this folder contains relevant documentation files of the software, i.e. the present report and the 2018 update (version 1.1) of the 2014 JRC Technical Report "A proposal on cancer data quality checks: one common procedure for European cancer registries" in pdf format. Subfolder *samples* includes some sample scripts for advanced users (see *Annex 3 – Running the JRC-ENCR QCS in background*).

lib: it includes the jar library files used by the software at run time.

logs: this folder stores the logs of all the QCS activity.

output: it is created after the QCS is run for the first time. It includes four subfolders, one for each of the different error reports that the QCS produces for the four type of files: *Incidence, Mortality, Population, LifeTables.*

temp: this folder contains all the raw files (working file), which form the basis of the reports.

A separate folder for the JRC CSV Data layout converter is also created.

3 How to prepare an input file for the QCS

In this section an example for each type of file accepted by the software is given.

The input files should be formatted as follows:

- Should be semicolon-separated files only;
- The first line should be the header.

3.1 Incidence File

The file must follow the format of the call for data protocol (section 3.1.1). It can be either created following the instructions below (section 3.1.2), or using the JRC CSV Data layout converter (section 3.1.3).

3.1.1 The new call for data protocol

The following are the variables of the new ENCR-JRC call for data protocol for European population-based cancer registries, with the required format.

Patient variables					
Variable name	Variable description	Format	Maximum length	Missing/ unknown	Coding
PAT[2]	Patient identification code	A	50	Not allowed	According to registry coding
MoB	Month of birth	F	2	99	Range of allowed values: 1 - 12
YoB	Year of birth	F	4	9999	Range of allowed values: > 1842 and ≤ the current year
Age	Age at diagnosis (incidence date) in years	F	3	999	Range of allowed values: ≥ 0 and < 121
Sex	Sex at birth	F	1	9	1 → Male 2 → Female 3 → Other

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[2] *PAT* should be a code assigned by the registry that is <u>not</u> to be used elsewhere (e.g. in a hospital). So, it cannot be an official personal number. It may be an encrypted personal number as long as this specific encryption is not used by any other organisation. The JRC will provide the tool to the CRs to do it.

Geo_code	Code for the geographical area of residence at Diagnosis	A	10	XX99	NUTS 2 when available or the highest level of administrative sub-divison that can be provided[3]. Blank → not applicable
Geo_label	Name of the geographical area of residence at Diagnosis	A	50	9	Blank → not applicable

Tumour variables

TUM	Tumour identification	A	50	Not allowed	According to registry coding
MoI	Month of incidence	F	2	99	Range of allowed values: 1 - 12
YoI	Year of incidence	F	4	Not allowed	Range of allowed values: From 1941 to present
BoD	Basis of diagnosis	F	1	9	0→Death certificate only 1→Clinical 2→Clinical investigation 4→Specific tumour markers 5→Cytology 6→Histology of a metastasis 7→Histology of a primary tumour
Topo	ICD-O-3 topography code	A	4	Not allowed	Valid code in ICD-O-3
Morpho	ICD-O-3 morphology code	F	4	Not allowed	Valid code in any ICD-O-3 version
Beh	ICD-O-3 behaviour	F	1	Not allowed	0→ Benign neoplasm 1→ Neoplasm of uncertain and unknown behaviour 2→ In situ neoplasm 3→ Malignant neoplasm
Grade[4]	ICD-O-3 grade of differentiation / immunophenotype	F	1	9	1→Grade I, Well differentiated 2→ Grade II, Moderately differentiated 3→ Grade III, Poorly differentiated 4→Grade IV, Undifferentiated, anaplastic 5→ T-cell; T-precursor 6→ B-Cell; Pre-B; B-precursor 7→ Null cell; Non T-non B 8→ NK cell (natural killer cell) 9→ Not applicable

Variables related to follow-up

Variable name	Variable description	Format	Maximum length	Missing/ unknown	Coding
Autopsy[5]	Incidental finding of cancer at autopsy	F	1	9	0→No 1→Yes
Vit_stat	The last known vital status	F	1	9	1→ Alive 2→ Dead
MoF	Month of last known vital status	F	2	99	Range of allowed values: From 1 to 12
YoF	Year of last known vital status	F	4	9999	Range of allowed values: > 1941 and ≤ the current year
Surv_time	Duration of survival in days	F	5	99999	≥ 0
ICD[6,7]	ICD edition for coding	F	2	99	Range of allowed values:

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[3] NUTS 3 codes should be provided for regional registries covering NUTS 3 areas such as French *Départements*, Italian *Province* and Spanish *Provincias*.

[4] The *grade* of tumours of the central nervous system should be coded according to table 27 of ICD-O-3.

[5] In autopsy cases, incidentally found at autopsy, the *vital status* is always 2 (dead) and the *survival* time is 0 days.

[6] If the vital status is 1 (alive) the *CoD* and *ICD* should be left blank.

	cause of death				<12 Blank → Not applicable
CoD[6,7]	Official underlying cause of death	A	4	R99 (ICD-10) 7999 (ICD-9)	According to ICD Blank → Not applicable

Stage variables

TNM_ed	TNM edition	F	2	99	Allowed values: ≤8
cT[8]	Clinical T-category	A	12	9	According to the TNM Classification of Malignant Tumours Blank → not applicable
cN[8]	Clinical N-category	A	12	9	
cM[8]	Clinical M-category	A	12	9	
pT[8,9]	Pathological T-category	A	12	9	
pN[8,9]	Pathological N-category	A	12	9	
pM[8,9]	Pathological M-category	A	12	9	
ToS	Staging system	A	3	9	A → Ann Arbor/ Lugano stage D → Dukes' stage E → Extent of disease F → FIGO stage S → TNM stage, unknown whether clinical or pathological clS → clinical TNM stage paS → pathological TNM stage cpS → combination of clinical& pathological TNM stage coS → condensed TNM stage esS → essential TNM stage T1 → Tier 1 stage for paediatric tumours T2 → Tier 2 stage for paediatric tumours 8 → Other staging system

Stage variables

Variable name	Variable description	Format	Maximum length	Missing/ unknown	Coding
Stage	Stage	F	1	9	0 → Stage 0, stage 0a, stage 0is, carcinoma in situ, non-invasive 1 → Stage I, FIGO I, localized, localized limited (L), limited, Dukes A 2 → Stage II, FIGO II, localized advanced (A), locally advanced, advanced, direct extension, Dukes B 3 → Stage III, FIGO III, regional (with or without direct extension), R+, N+, Dukes C 4 → Stage IV, FIGO IV, metastatic, distant, M+, Dukes D
Treatment variables					

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[7] if the vital status is 2 (dead) and the cause of death is unknown, CoD should be coded as R99 (ICD-10)/7999 (ICD-9) or 9999 and ICD should be coded as 99.

[8] If TNM is not available or not applicable, cTNM (*cT, cN, cM*) and pTNM (*cT, cN, cM*) should be coded as 9 and be left blank respectively and (if possible) *Staging system (ToS)* and *stage* should be coded.

[9] If cTNM is available and the primary tumour was not resected the pTNM (*pT, pN, pM*) should be left blank.

Surgery[10,11]	Resection of the primary tumour	F	1	9	0 → No 1 → Yes, without specification 2 → Yes, local surgery only[12] 3 → Yes, 'operative' surgery[13]
Rt	Radiotherapy	F	1	9	0 → No 1 → Yes, without specification 2 → Yes, neoadjuvant (pre-operative) radiotherapy 3 → Yes, adjuvant (post-operative) radiotherapy
Cht	Chemotherapy	F	1	9	0 → No 1 → Yes, without other specification 2 → Yes, neoadjuvant (pre-operative) 3 → Yes, adjuvant (post-operative) 4 → Yes, both neoadjuvant and adjuvant
Tt[14]	Targeted therapy (including monoclonal antibodies)	F	1	9	0 → No 1 → Yes
It	Immunotherapy (excl. monoclonal antibodies)	F	1	9	0 → No 1 → Yes
Ht	Hormone therapy	F	1	9	0 → No 1 → Yes
Ot	Other or unspecified systemic therapy	F	1	9	0 → No 1 → Yes, without other specification 2 → Yes, neoadjuvant (pre-operative) 3 → Yes, adjuvant (post-operative)
SCT	Stem cell transplantation	F	1	9	0 → No 1 → Yes

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[10] If available, type of surgery (*local surgery* [12] versus *operative surgery* [13]) should be coded for solid cancers of the following cancer sites: C01-C06, C16-C20, C30-C34, C53-C55, C61 and C65-C68. For other cancers, code 1 (surgery without specification) suffices.

[11] If both *local surgery* and *operative surgery* were performed for the same tumour, *operative surgery* should be coded.

[12] The following procedures should be coded as local surgery: polypectomy (mainly gastro-intestinal tract), transurethral resection (TUR; bladder & other urinary tract), cone biopsy/loop excision (cervix), as well as all other procedures which leave the organ in situ, such as cryosurgery, laser coagulation, thermoablation, radiofrequency ablation (RFA), etc.

[13] This includes all resections of the tumor which require the removal of an organ or a major part of that organ, such as a lobectomy, hemicolectomy, hysterectomy, cystectomy, prostatectomy, etc.

[14] Targeted therapy comprises all drugs that block the growth of cancer cells by inhibition of certain pathways in the cancer cell. Traditional chemotherapy also affects other cells in the body that divide quickly. The main categories of targeted therapy are small molecules (mostly tyrosine kinase inhibitors such as imati_nib_ and many other -_nib_s) and monoclonal antibodies (such as rituxi_mab_ and many other -_mab_s). Monoclonal antibodies are considered a form of immunotherapy but should be coded as targeted therapy.

3.1.2 Incidence file creation

First of all, you need to create the header of the file. For the incidence file the number of accepted variables for each record is 39 by default.

The file has a fixed structure (names, order and separation of variables by semicolon (**;**).

The header line is mandatory as such (please copy/paste the following, adding the line at the top of your incidence file).

PAT; MoB; YoB; Age; Sex; Geo_Code; Geo_Label; TUM; MoI; YoI; BoD; Topo; Morpho; Beh; Grade; Autopsy; Vit_stat; MoF; YoF; Surv_time; ICD; CoD; TNM_ed; cT; cN; cM; pT; pN; pM; ToS; Stage; Surgery; Rt; Cht ; Tt; It; Ht; Ot; SCT

Please note: do NOT put a semicolon at the end of the line. The line ends in "SCT" and <u>not</u> in "SCT**;**"

After the creation of the header, please proceed by creating the lines/records with the values of those variables.

When you finish inserting the records of your file, save it in csv or txt format.

You are now ready to load the incidence file into the JRC-ENCR QCS.

3.1.3 JRC CSV Data layout converter (QCS Buddy)

The JRC CSV Data layout converter (QCS Buddy) was created in order to assist users (with Windows operating systems) in the creation of incidence files to be checked with the QCS.
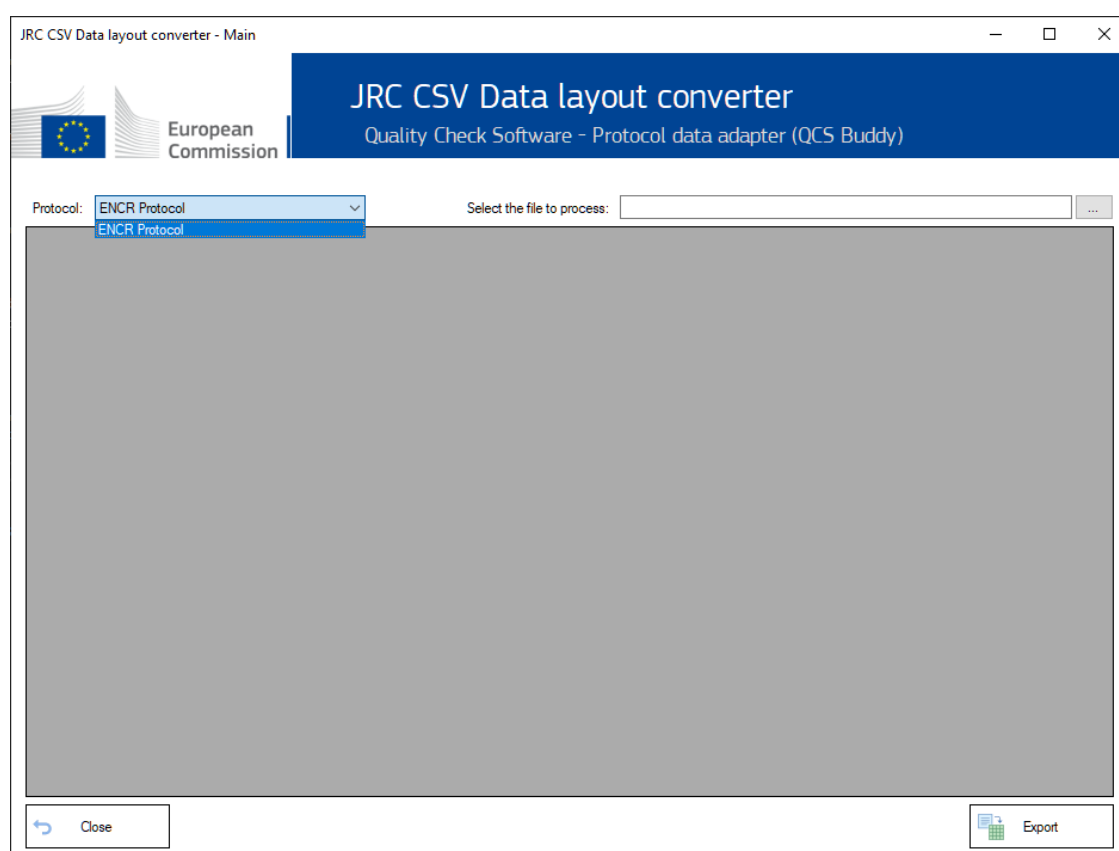
Option "ENCR Protocol" is the default one for the tool.

Select a data file to import and convert. The file can be in any text format (CSV) with columns separator. The following column separators are supported:

- **TAB** (tabulation)
- **|** (pipe)
- **,** (comma)
- **;** (semicolon)

If there are no errors, program shows the list of the fields defined in the protocol and the corresponding fields found in the data file.

To facilitate the data import process, the QCS Buddy tries to automatically map fields with the same name.

Mapped fields are displayed in GREEN, unmapped fields are displayed in RED.

For those fields where an automatic mapping was not possible (but in general for all fields) the user can:

1) Map the protocol field with one of the fields found in the data file
2) Leave the field blank (for example, if no mapping is possible, data are not available, etc..)

Only when all the fields defined in the protocol are mapped (or blank), it is possible to Export the content of the original file and "convert" it in the format defined in the ENCR-JRC protocol.

Additionally, if there are fields in the data file that are not used in the protocol, the tool asks if the user wants to export an additional file including one or more of these fields in addition to those expected in the ENCR-JRC protocol.
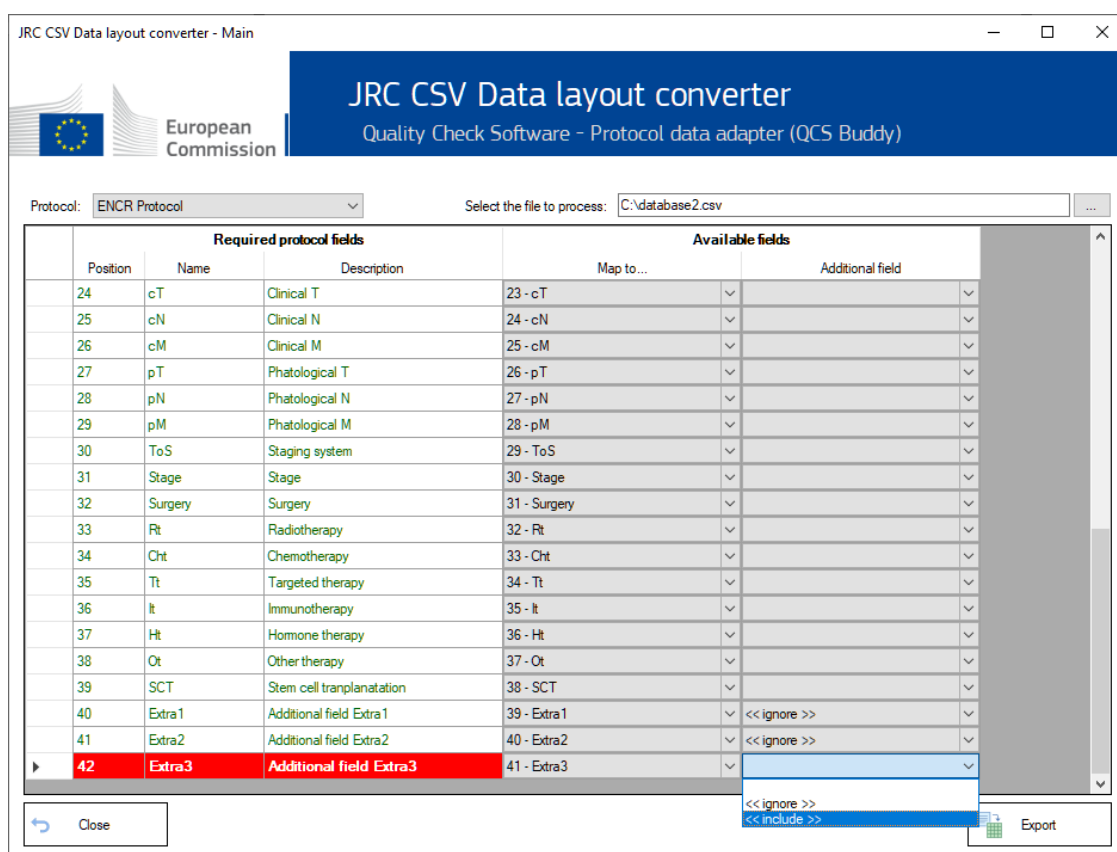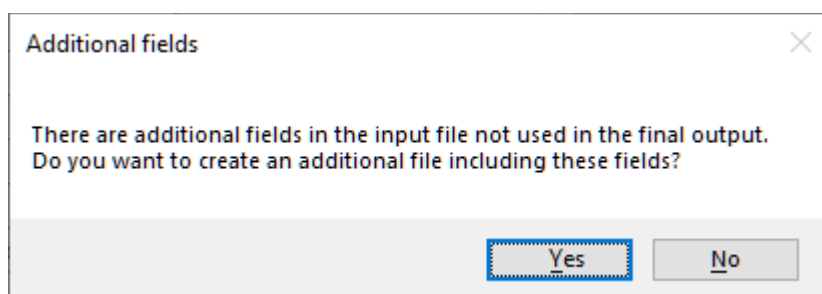
In this example there are 3 fields in the data file (Extra1, Extra2 and Extra3), and the user has chosen to export a file including only the Extra3 field. A new file will be exported, like the previous one but including the new Extra3 field (new fields are appended at the end of the record).

3.2 Mortality file

Similarly as above, you need first to create the header of the file. For mortality files the number of accepted variables is 5.

Please use the following lines as header, copy/pasting the relevant one at the top of your file:

Calendar_Year;Sex;Age unit;Cause of death;Number of deaths

Calendar_Year;Sex;Age range;Cause of death;Number of deaths

Please note: Please make sure that the variables are in the correct order, in the correct number and are separated by semicolons. The header line is mandatory. Do NOT put a semicolon at the end of each line.

After having created the header, please proceed by creating the lines/records with the values of those variables. When you finish creating the records of your file, save it in csv or txt format**.**

You are now ready to load the mortality file into the JRC-ENCR QCS.

3.3 Population file

Please create first the header of the file. For population files the number of accepted variables is 5.

Please use the following lines as header, copy/pasting it at the top of your file:

Calendar Year;Sex;Age unit;Geo_code;Number of residents

Calendar Year;Sex;Age range;Geo_code;Number of residents

Please note: Please make sure that the variables are in the correct order, in the correct number and are separated by semicolons. The header line is mandatory. Do NOT put a semicolon at the end of the header.

After having created the header, please proceed by creating the lines/records with the values of those variables. When you finish creating the records of your file, save it in csv or txt format.

You are now ready to load the population file into the JRC-ENCR QCS.

3.4 Life Table file

Please create first the file header. For life table files the number of accepted variables is 5.

Please use the following line as header, copy/pasting it at the top of your file:

Calendar Year;Sex;Annual age;Geo_code;All causes death probability

Please note: Please make sure that the variables are in the correct order, in the correct

number and are separated by semicolons. The header line is mandatory. Do NOT put a semicolon at the end of each line.

After having created the header, please proceed by creating the lines/records with the values of those variables. When you finish creating the records of your file, save it in csv or txt format.

You are now ready to load the life table file into the JRC-ENCR QCS.

4   How does the software work?

The analysis process of an input *incidence* file is described below. Similar processes are performed for the other allowed input data files: *mortality*, *population* and *life table* files.

The software assumes that input files have *csv* or *txt* extensions. Files with *csv* and *txt* extension are shown first by default. Selecting the option "*All files*", files with extensions other than *csv* and *txt* are displayed. The incidence file should include 39 variables, semicolon-separated, and in the correct format as reported in section 3.1.1 above.

The software checks that variable names are correct, and every single record is compliant with the valid format and value for each variable according to the new *ENCR-JRC Call for Data Protocol* as for:

- the number of variables;

- the presence of non-missing and non-blank values in the fields affecting incidence calculation;

- when applicable, the field content against a list of valid values. **Example**: patient's sex numeric value (variable Sex) can be 1=male, 2=female, 3=other or 9=unknown. Every other value will produce an error;

- the field length, which must be within the allowed range. ***Example****: maximum length for Patient identification code (*variable PAT*) is 50 characters;*

- the validity of dates (also checking that dates are not set in the future);

- records failing the edits described in the 2018 update (version 1.1) of the 2014 JRC Technical Report "one common procedure for European cancer registries" (see also the *2021 data call protocol*).

Output messages from the JRC-ENCR QCS are saved in specific output. Three output files are generated (names below are relative to the *incidence* file):

1) *QCS-Incidence-Output.pdf* – file with error and warning messages in pdf format including multiple primary tumour warnings;

2) *QCS-Incidence-Output.txt* – file with error and warning messages in *txt* format including multiple primary tumour warnings;

3) *QCS-Incidence-Output.csv* – file with error and warning messages in *csv* format. This file can be imported by most software packages to allow for advanced data manipulation, such as linkage with the original file using the unique id patient+tumour id. Warnings for multiple primary tumours are also included in this file.

5 Using the software

5.1 Running the software

- Please navigate to the folder in which you installed the software;

- Double click on the *JRC-ENCR-QCS.bat* file (In case of any issue, it is possible to try running the QCS with 2GB of RAM memory by launching file *JRC-ENCR-QCS-2GB.bat*);

- The user interface appears;
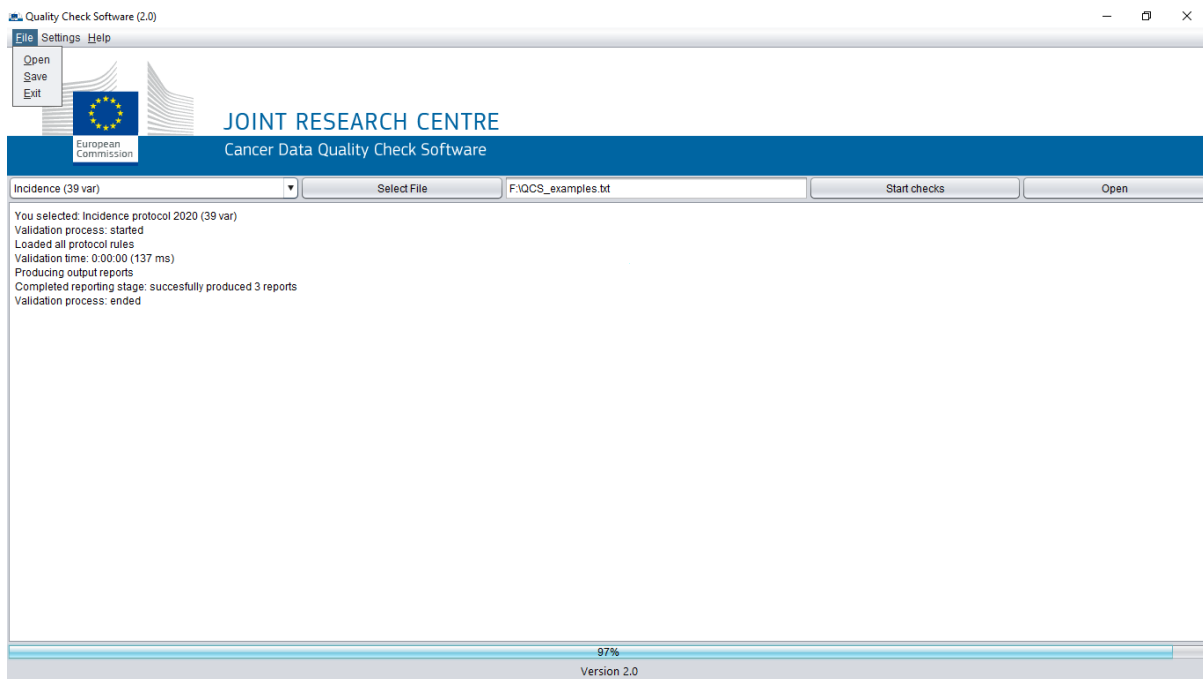
Note: The software runs <u>only</u> double clicking on the file ending in *.bat.*

It is possible to save the current configuration of the JRC-ENCR QCS on a file, by selecting "*Save*" in menu *File*.

To quit the JRC-ECNR QCS just close the window, or select the "*Exit*" item in menu *File*.

5.2 Checking the files

Select the type of file you want to check from the drop down menu.

For instance, for checking an incidence file according to the 2021 data call protocol:

- Select the "*Incidence (39 var)*" option from the drop down menu;
- Press the "*Select File*" button;
- A file browsing window appears;
- Select the file to be checked.

The software accepts only files with semicolon (**;**) separated values (with extension such as *csv* or *txt*).
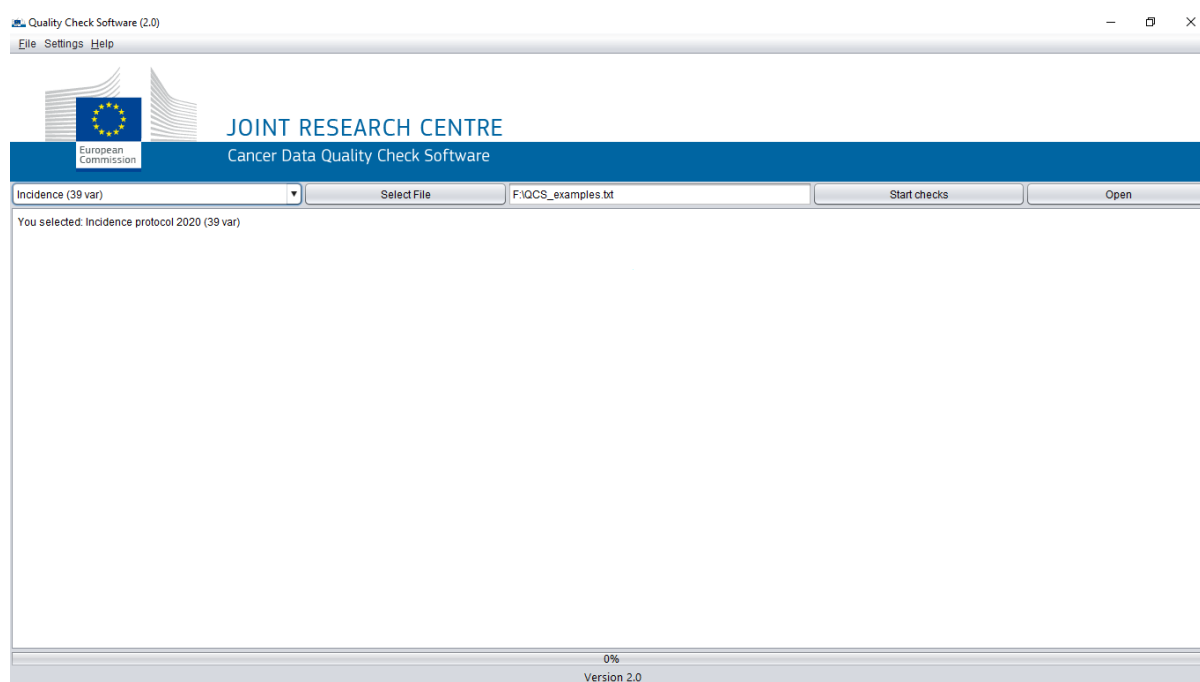
- Navigate to the folder where the incidence file to be checked is located, select it and press "*Open*";
- The full path of the file you have chosen will be displayed in the text box on the left of the "*Start Checks*" button;
- Press the "*Start Checks*" button;

If you had previously already checked the incidence file, please note that the output files **will be overwritten**. Please save them in a different folder or with a different name in case you want to keep them.

While the software is running, the number of the checked record will appear in the display text box:
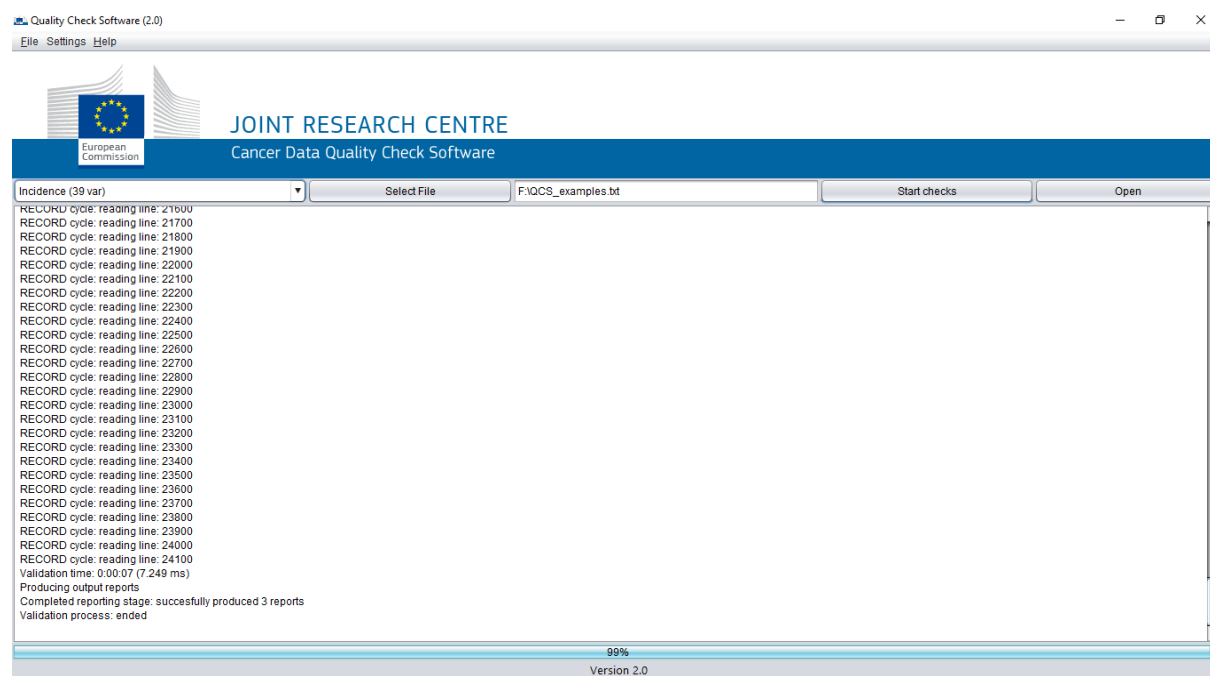
The output window of the software reports on the completed process:
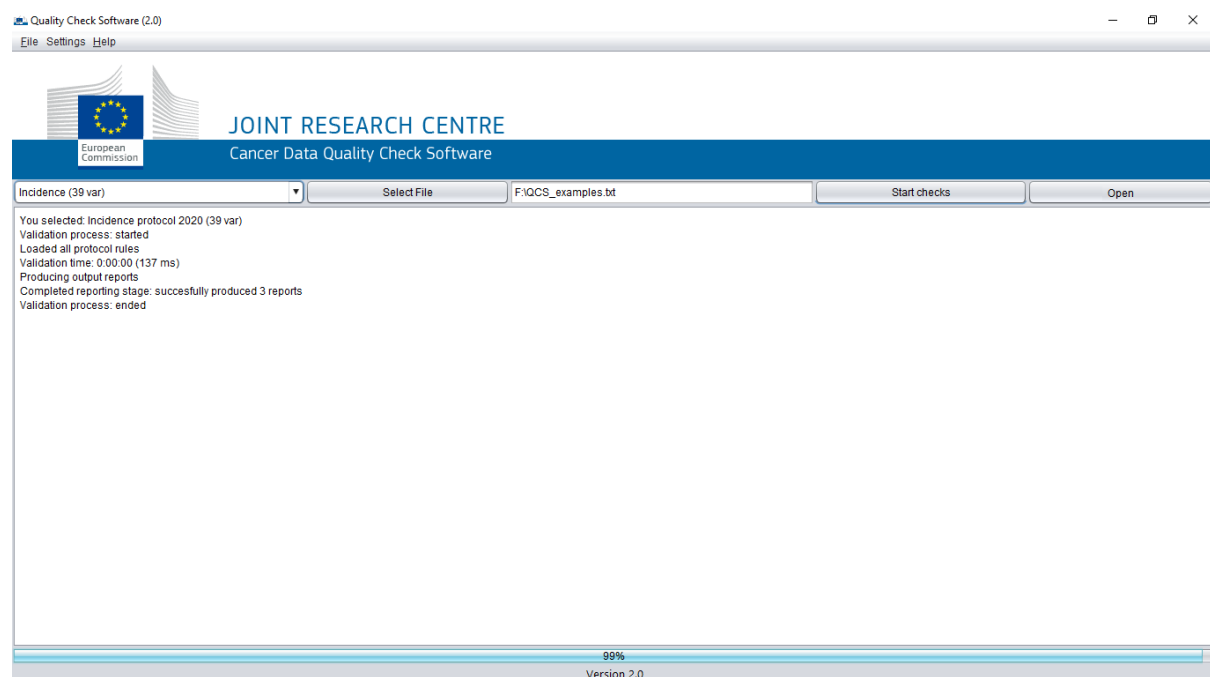
You can finally access the outputs, by clicking on "*Open*", and accessing the "*output*" folder, containing all the report files.
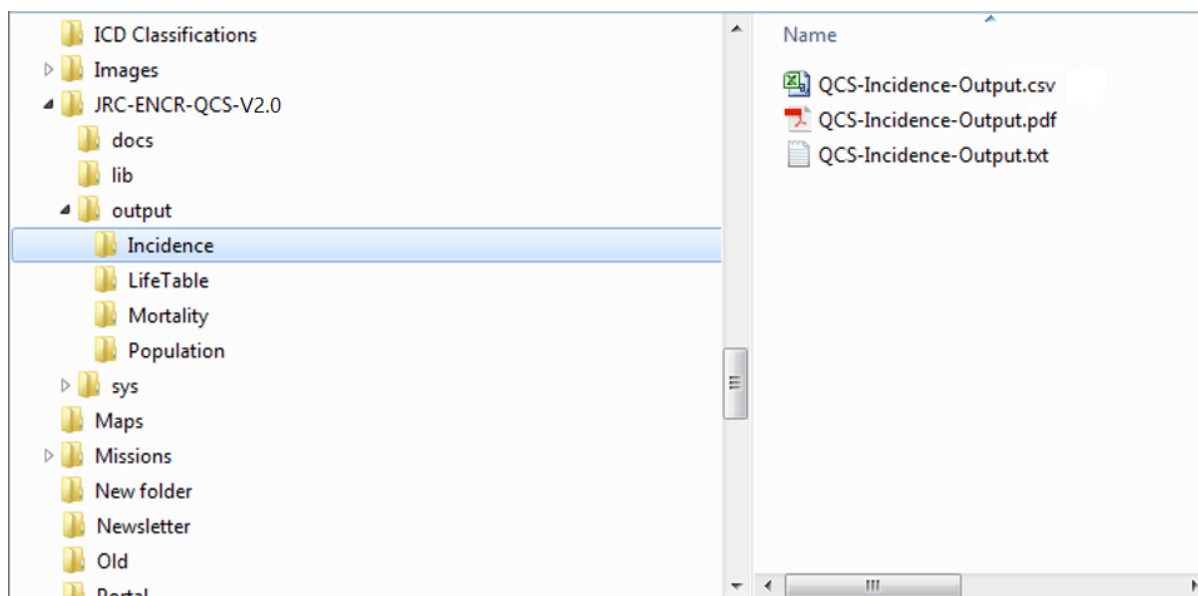
Similarly, *mortality*, *population* and *life table* files can be checked by selecting the type of the file from the drop down menu.

The procedure for checking such files is the same as described above for Incidence files.

It is possible for the software to perform checks related to the previous data call protocol by selecting "Incidence 2014 (56 var)":
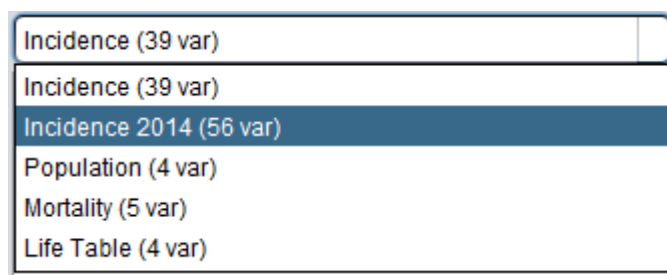
Check are performed according to *The JRC-ENCR Quality Check Software (QCS) for the validation of cancer registry data: user compendium – version 1.8.1* (https://encr.eu/sites/default/files/User_compendium_v1_8_1.pdf)

5.2.1 Settings and options

The "Settings" menu enables to select additional JRC-ENCR QCS functionalities.
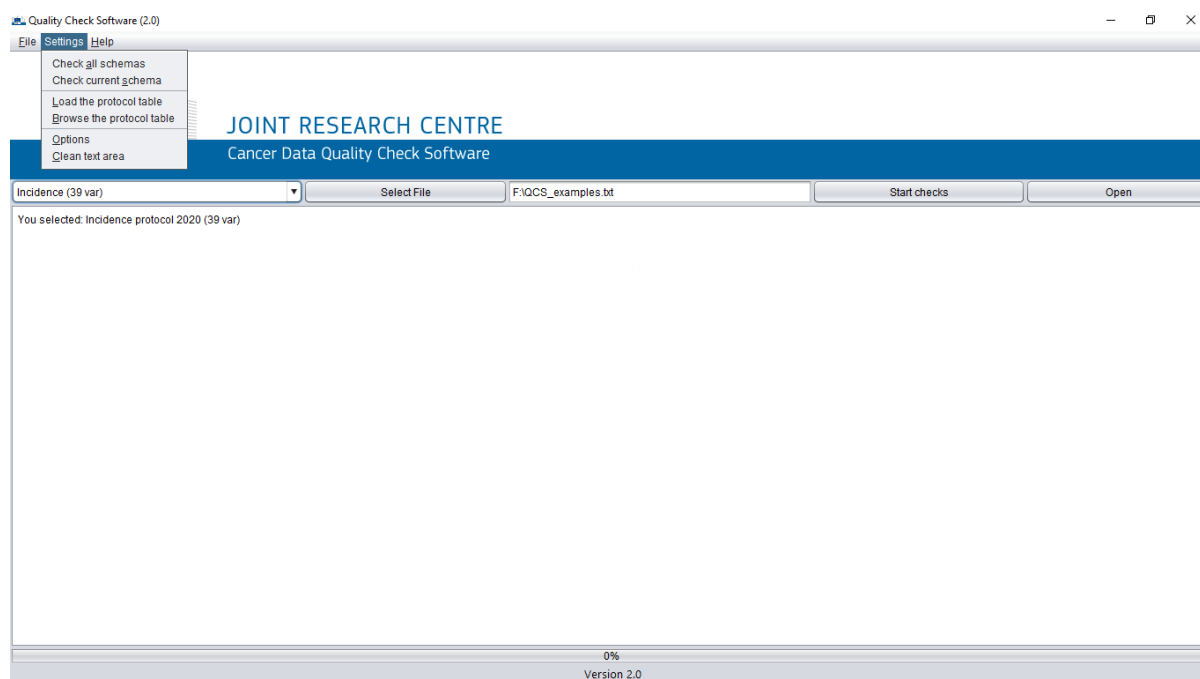
The following settings are available:

- *Check all schemas/Check current schema*. This functionality checks the existence of configuration files, the integrity of single files, the integrity of configuration files and returns the integrity status of either all schemas or the current schema;

- *Load the protocol tables/Browse the protocol table.* This functionality allows to load or browse the protocol table, listing all the protocol rules (see screenshot below);
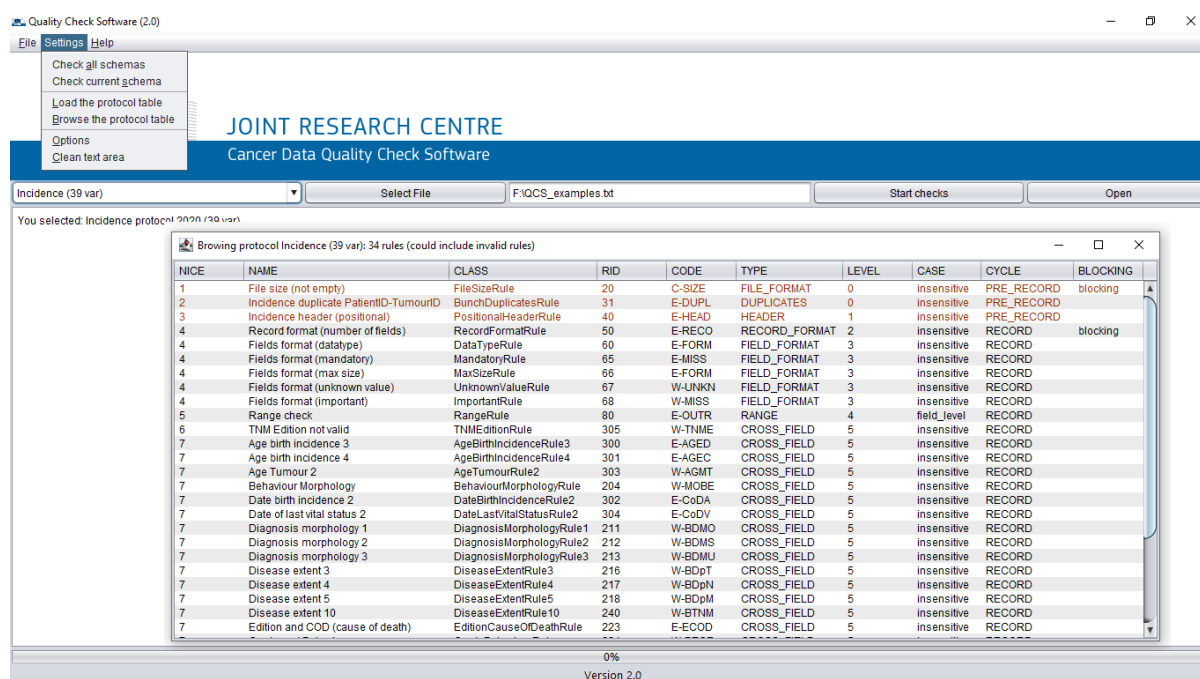
- *Options*. When selected, validation options are shown. Tick box *Enable detailed output report* allows the creation of either a detailed or aggregated report. A detailed report is created with the default option.

  Option *Primary Duplicate Check All Records/Valid Records* allows to have different conditions for the check of multiple primary tumours. With *Primary Duplicate Check All Records* the check is performed on valid records and on records with errors, except errors involving the tumour morphology value. By selecting *Primary Duplicate Check Valid Records*, multiple primary tumours checks are performed, except on records with the following errors/warnings: E-SETO, E-AGED, E-AGEC, E-CoDA, W-AGMT, W-MOTO and errors involving topography and morphology (see Annex 2 for the definition of error and warning codes)
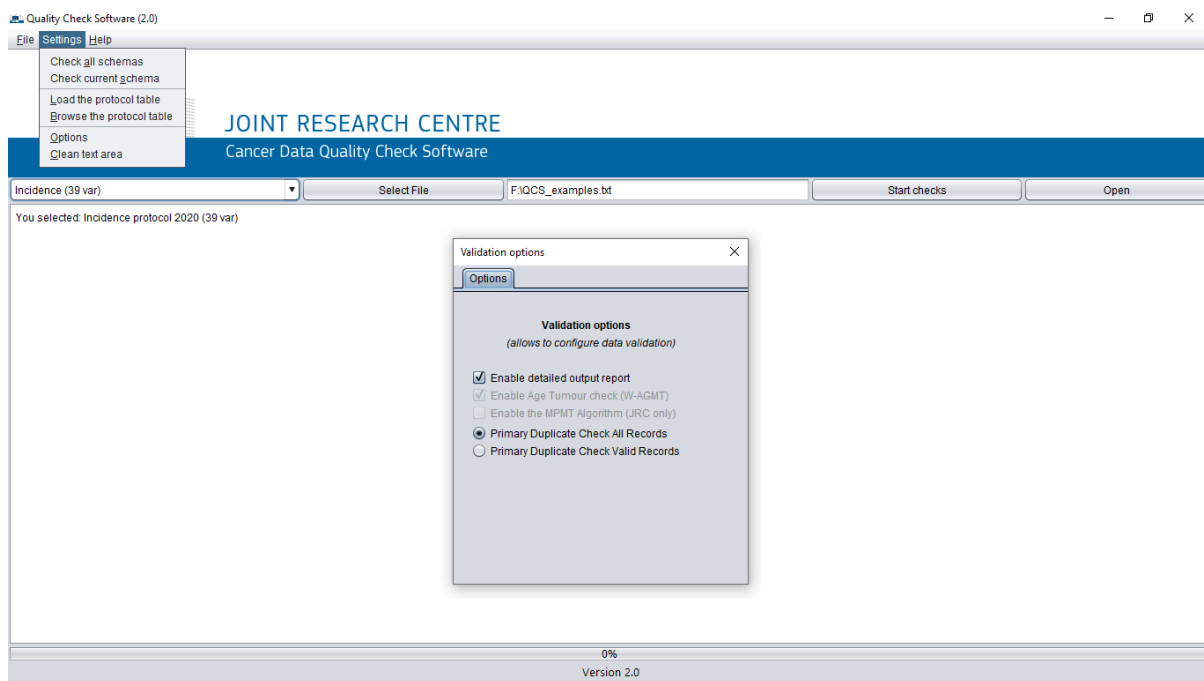
- *Clear text area*. Deletes all the text from the dialog box.

5.2.2 Help menu

This functionality includes a link to the folder with information on the JRC-ENCR QCS, a contact e-mail and the JRC-ENCR QCS page on the ENCR website.

In the "*Help*" menu you can also find the "*About*" item, with credits, copyright statement and the list of jar libraries.

5.3 Output files

The output files are located in the subfolders inside the "*output*" folder, depending on the type of the file. For example, output files for an Incidence file are located in the " **\JRC-ENCR-QCS-V2.0\output\Incidence"** folder.

The following four screenshots refer to the *QCS-Incidence-Output.pdf* file:

```
*******************************************************************************************
QUALITY CHECK SOFTWARE REPORT - INCIDENCE
*******************************************************************************************


*******************************************************************************************
PROCESSING PARAMETERS
*******************************************************************************************

File process start : 2021-05-17 11:38:47.346
File process end   : 2021-05-17 11:38:47.395

Validated by       : QCS Version 2.0

File Processed:
F:\JRC-ENCR-QCS\QCS test files\W-MPMT-Beh.txt

*******************************************************************************************
PROCESSING STATISTICS
*******************************************************************************************

Number of records read           : 16
Total number of errors            : 12
Number of warnings                : 6
Total number of records rejected  : 12

*******************************************************************************************
KEY TO ERROR AND WARNING CODES
*******************************************************************************************

E-AGEC: Age is invalid + impossible to calculate age from DoI - DoB
E-AGED: DoI - DoB different from Age
E-CoDA: DoB + DoI not coherent (p.16)
E-CoDV: Date of last known vital status not valid
E-DUPL: Duplicate PatientID-TumourID
E-ECOD: ICD edition + Cause of death not valid
E-FORM: Format error
E-HEAD: Errors in the file header (number of columns, header's separator, order of columns, etc.)
E-MISS: Value missing
E-OUTR: Value out of range
E-RECO: Wrong number of fields in the record
E-SETO: Topography + Sex not valid (tab.4)

WARNING CODES:

W-AGMT: Unlikely Age + tumour type (tab.3)
W-BDMO: Morphology too specific (p.30)
W-BDMS: Morphology not specific enough (p.30)
W-BDMU: BoD + Morphology/Behaviour (p.30)
W-BDpM: BoD + pM not valid (p.40)
W-BDpN: BoD + pN not valid (p.40)
W-BDpT: BoD + pT not valid (p.40)
W-BEGR: Behaviour + grade not valid (tab.7)
W-BTNM: Behaviour + TNM not valid (p.41)
W-EDIM: Consistency between TNM edition and pM
W-MISS: Value missing
W-MOBE: Morphology + Behaviour not valid
W-MOGR: Morphology + grade not valid (tab.6-7)
W-MOTO: Morphology + Topography not valid (tab.8)
W-MPMT: Multiple primary malignant tumour (p.42)
W-SEMO: Sex + Morphology not valid (tab.5)
W-TNME: TNM edition not valid
W-TNMM: Morphology not addressed by the Topography table used by the target TNM edition
W-TNMS: Topography + TNM edition + T,N,M + Stage (p.54-99)
W-UNKN: Value set to missing/unknown

*******************************************************************************************
SUMMARY OF ERRORS BY CODE
*******************************************************************************************


*******************************************************************************************
SUMMARY OF WARNINGS BY CODE
*******************************************************************************************

-----------------------------------------------
W-MPMT                               6
-----------------------------------------------


*******************************************************************************************
DUPLICATE RECORDS
*******************************************************************************************
```

Detail: upper section

```
****************************************************************************************************
QUALITY CHECK SOFTWARE REPORT - INCIDENCE
****************************************************************************************************

****************************************************************************************************
PROCESSING PARAMETERS
****************************************************************************************************

File process start : 2021-06-01 0:56:18.160
File process end   : 2021-06-01 0:56:37.349

Validated by       : QCS Version 2.0

File Processed:
F:\JRC-ENCR-QCS\QCS test files\Test Registry 01.csv

****************************************************************************************************
PROCESSING STATISTICS
****************************************************************************************************

Number of records read             : 24144
Total number of errors              : 2144
Number of warnings                  : 607
Total number of records rejected    : 2124

****************************************************************************************************
KEY TO ERROR AND WARNING CODES
****************************************************************************************************

E-AGEC: Age is invalid + impossible to calculate age from DoI - DoB
E-AGED: DoI - DoB different from Age
E-CoDA: DoB + DoI not coherent (p.16)
E-CoDV: Date of last known vital status not valid
E-DUPL: Duplicate PatientID-TumourID
```

Processing parameters:

- *File process start, File process end*;

- *Validated by*. The JRC-ENCR QCS version used to produce the report is added;

- *File processed*. The name and the path of the file checked by the software is reported.

Processing statistics:

- *Number of records read, Total numbers of errors*;

- *Total number of records rejected*. Records are rejected whenever the headers are correct but some of the variables are not present, not even left blank or with missing value;

Key to error and warning codes:

- Errors and warnings are referenced by codes, described by short labels and accompanied by the reference to the relevant table or page from the 2018 update of the JRC Technical Report "*A proposal on cancer data quality checks: one common procedure for European cancer registries*". See also *Annex 2 – List of error and warning codes* below.

Detail: second page (summary of errors and warnings, multiple primary tumours)

```
****************************************************************************************************
SUMMARY OF ERRORS BY CODE
****************************************************************************************************


------------------------------------------------
 E-OUTR                                   2414
------------------------------------------------


****************************************************************************************************
SUMMARY OF WARNINGS BY CODE
****************************************************************************************************


------------------------------------------------
 W-AGMT                                     17
------------------------------------------------
 W-BDMO                                    148
------------------------------------------------
 W-BDMS                                     20
------------------------------------------------
 W-BDMU                                     52
------------------------------------------------
 W-BDpM                                      1
------------------------------------------------
 W-BDpN                                     36
------------------------------------------------
 W-BDpT                                     76
------------------------------------------------
 W-BTNM                                     62
------------------------------------------------


****************************************************************************************************
DUPLICATE RECORDS
****************************************************************************************************


****************************************************************************************************
MULTIPLE PRIMARY MALIGNANT TUMOUR CHECK
****************************************************************************************************


------------------------------------------------
 PAT  11648                          Tum  1406
------------------------------------------------
 BoD  Topo  Morpho    Beh  Sex  DoI      DoB
------------------------------------------------
 7    C444  8720      3    2    9/2006   5/1946
------------------------------------------------
------------------------------------------------
 PAT  11648                          Tum  6546
------------------------------------------------
 BoD  Topo  Morpho    Beh  Sex  DoI      DoB
------------------------------------------------
 7    C445  8730      3    2    11/2012  5/1946
------------------------------------------------
------------------------------------------------
 PAT  13914                          Tum  1722
------------------------------------------------
 BoD  Topo  Morpho    Beh  Sex  DoI      DoB
------------------------------------------------
 7    C421  9732      3    2    5/2007   5/1932
------------------------------------------------
```

Summary of errors by code: see *Annex 2 – List of error and warning codes*

Summary of warnings by code: see *Annex 2 – List of error and warning codes*

Multiple primary malignant tumour check: for each multiple primary tumour warning the following variables are reported: *PAT*, *Tum*, *BoD* (basis of diagnosis), *Topo* (topography), *Morpho* (morphology), *Beh* (behaviour), Sex, *DoI* (date of incidence), *DoB* (date of birth)

Detail: page(s) with errors and warnings

```
********************************************************************************************
ERRORS AND WARNINGS
********************************************************************************************

-----------------------------------------------------------------------------------------------
PAT  317                                         Tum  316
-----------------------------------------------------------------------------------------------
BoD     Topo    Morpho    Beh    Sex    DoI       DoB       Var_Name        Var_Value   Error_Code
-----------------------------------------------------------------------------------------------
2       C724    9560      0      2      6/2005    5/1932    Autopsy         2           E-OUTR
                                                            -----------------------------------
                                                            Morpho          9560        W-BDMO
                                                            BoD             2           W-BDMO
-----------------------------------------------------------------------------------------------
PAT  348                                         Tum  345
-----------------------------------------------------------------------------------------------
BoD     Topo    Morpho    Beh    Sex    DoI       DoB       Var_Name        Var_Value   Error_Code
-----------------------------------------------------------------------------------------------
5       C424    9871      3      2      5/2007    3/2000    Morpho          9871        W-MOTO
                                                            Topo            C424        W-MOTO
-----------------------------------------------------------------------------------------------
```

Errors and warnings: for each warning or error the following variables are reported: *PAT*, *Tum*, *Topo* (topography), *Morpho* (morphology), *Beh* (behaviour), Sex, *DoI* (date of incidence), *DoB* (date of birth), *Var_Name* and *Var_Value* (list of variables which caused the warning or error to be returned by the JRC‑ENCR QCS, and their values), *Error_Code* (code according to list in *Annex 2 – List of error and warning codes*)

The following screenshots refer to the *QCS-Incidence-Output.csv* file:

Line_nr	2_Patient_ID	3_Tumour_ID	1_Flag	13_Topo	14_Morpho	15_Beh	7_Sex	DoI	DoB	Error_code	Error_Description	Var1_Name	Var1_Value	Var2_Name	Var2_Va
209	13198	1	1	C421	9731	3	2	04/11/2014	02/12/1958	W-MOTO	Morphology + Topography not valid	13_Topo	C421	14_Morpho	9731
213	13490	1	1	C539	8000	3	2	30/06/2014	26/06/1970	W-BDMS	Morphology not specific enough (p.30)	14_Morpho	8000	12_BoD	7
217	13498	1	1	C445	8090	3	1	29/03/2014	31/05/1967	W-TOLA	Topography + Laterality not valid	13_Topo	C445	23_Laterality	3
251	13555	2	1	C445	8092	3	1	17/08/2014	10/10/1972	W-TOLA	Topography + Laterality not valid	13_Topo	C445	23_Laterality	3
444	13787	1	1	C445	8743	2	1	09/10/2014	21/10/1953	E-MOBE	Morphology + Behavior not valid	14_Morpho	8743	15_Beh	2
874	14002	1	1	C445	8743	2	1	10/11/2014	10/10/1952	W-TOLA	Topography + Laterality not valid	13_Topo	C445	23_Laterality	2
1903	15011	1	1	C421	9761	3	1	15/09/2015	23/11/1969	W-MOTO	Morphology + Topography not valid	13_Topo	C421	14_Morpho	9761
1951	15077	1	1	C445	8743	2	2	19/09/2015	02/03/1947	E-MOBE	Morphology + Behavior not valid	14_Morpho	8743	15_Beh	2
2566	15701	1	1	C421	9960	3	2	01/11/2015	14/03/1948	W-BDMS	Morphology not specific enough (p.30)	14_Morpho	9960	12_BoD	5
2571	15709	1	1	C445	8090	3	2	10/10/2015	27/03/1943	W-TOLA	Topography + Laterality not valid	13_Topo	C445	23_Laterality	2
2575	15722	1	1	C421	9962	3	1	23/09/2015	18/01/1934	W-BDMU	BoD + Morpho/Beh (p.30)	14_Morpho	9962	12_BoD	6
2756	15929	1	1	C421	9731	3	1	12/08/2015	15/08/1933	W-MOTO	Morphology + Topography not valid	13_Topo	C421	14_Morpho	9731

Detail: left part

Line_nr	2_Patient_ID	3_Tumour_ID	1_Flag	13_Topo	14_Morpho	15_Beh	7_Sex	DoI	DoB
209	13198	1	1	C421	9731	3	2	04/11/2014	02/12/1958
213	13490	1	1	C539	8000	3	2	30/06/2014	26/06/1970
217	13498	1	1	C445	8090	3	1	29/03/2014	31/05/1967
251	13555	2	1	C445	8092	3	1	17/08/2014	10/10/1972
444	13787	1	1	C445	8743	2	1	09/10/2014	21/10/1953
874	14002	1	1	C445	8743	2	1	10/11/2014	10/10/1952
1903	15011	1	1	C421	9761	3	1	15/09/2015	23/11/1969
1951	15077	1	1	C445	8743	2	2	19/09/2015	02/03/1947
2566	15701	1	1	C421	9960	3	2	01/11/2015	14/03/1948
2571	15709	1	1	C445	8090	3	2	10/10/2015	27/03/1943
2575	15722	1	1	C421	9962	3	1	23/09/2015	18/01/1934
2756	15929	1	1	C421	9731	3	1	12/08/2015	15/08/1933

Detail: right part

Error_code	Error_Description	Var1_Name	Var1_Value	Var2_Name	Var2_Value	Var3_Name
W-MOTO	Morphology + Topography not valid	13_Topo	C421	14_Morpho	9731	
W-BDMS	Morphology not specific enough (p.30)	14_Morpho	8000	12_BoD	7	
W-TOLA	Topography + Laterality not valid	13_Topo	C445	23_Laterality	3	
W-TOLA	Topography + Laterality not valid	13_Topo	C445	23_Laterality	3	
E-MOBE	Morphology + Behavior not valid	14_Morpho	8743	15_Beh	2	
W-TOLA	Topography + Laterality not valid	13_Topo	C445	23_Laterality	2	
W-MOTO	Morphology + Topography not valid	13_Topo	C421	14_Morpho	9761	
E-MOBE	Morphology + Behavior not valid	14_Morpho	8743	15_Beh	2	
W-BDMS	Morphology not specific enough (p.30)	14_Morpho	9960	12_BoD	5	

6 How to interpret the output of incidence files created by the QCS

This section describes how to interpret the outcomes of the JRC-ENCR QCS for some of the variables having an impact on the incidence estimation. Some examples of warnings on TNM and on multiple primary tumours are also reported.

The code of the errors starts by **E**(**-**XXXX) and the code of the warnings by **W**(**-**XXXX).

1) Errors due to variable values and their format

- o **E-OUTR**: out of range.

  When the variables have values different from the ones allowed by the new *Call for Data Protocol* or the 2018 update of the JRC Technical Report (https://encr.eu/sites/default/files/inline-files/Cancer Data Quality Checks Procedure Report online_0.pdf) the QCS returns error E-OUTR.

```
-------------------------------------------------------------------------------
PAT   000001                           Tum   02
-------------------------------------------------------------------------------
BoD     Topo    Morpho   Beh    Sex    DoI      DoB      Var_Name    Var_Value   Error_Code
-------------------------------------------------------------------------------
1       C427    9800     3      2      9/2010   2/1924   Topo        C427        E-OUTR
```

In this example the QCS gives the error E-OUTR because topography C427 does not exist in the International Classification of Diseases for Oncology, third edition[15] (ICD-O-3).

---

[15] International Classification of Diseases for Oncology, Third Edition, First Revision. Geneva: World Health Organization, 2013.

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```
--------------------------------------------------------------------------------------
PAT  000002                                 Tum  01
--------------------------------------------------------------------------------------
BoD       Topo     Morpho    Beh    Sex    DoI        DoB       Var_Name      Var_Value Error_Code
--------------------------------------------------------------------------------------
7         C620     9999      9      1      10/2012    4/1935    Morpho        9999      E-OUTR
                                                                -------------------------------------
                                                                Beh           9         E-OUTR
```

In this example the QCS returns error E-OUTR because morphology 9999 does not exist in the ICD-O-3, and value 9 is not allowed according to the call for data protocol.

o **E-MISS**: value missing.

```
--------------------------------------------------------------------------------------
PAT  000003                                 Tum  01
--------------------------------------------------------------------------------------
BoD       Topo     Morpho    Beh    Sex    DoI        DoB       Var_Name      Var_Value Error_Code
--------------------------------------------------------------------------------------
7         C187               3      1      8/2011     3/1945    Morpho                  E-MISS
```

In this example the QCS returns error E-MISS because variable morphology (which impacts on incidence calculations) has a missing value.

o **E-AGEC**: Age is invalid or missing, and it is impossible to calculate.

```
--------------------------------------------------------------------------------------
PAT  000004                                 Tum  01
--------------------------------------------------------------------------------------
BoD       Topo     Morpho    Beh    Sex    DoI        DoB       Var_Name      Var_Value Error_Code
--------------------------------------------------------------------------------------
7         C169     8140      3      2      11/2013    99/9999   Age           999       E-AGEC
                                                                YoB           9999      E-AGEC
                                                                YoI           2013      E-AGEC
```

In this example the QCS gives error E-AGEC because variable *age* (which impacts on incidence calculations) is unknown and cannot be calculated.

o **E-FORM**: format error.

```
--------------------------------------------------------------------------------------
PAT  000005                                 Tum  01
--------------------------------------------------------------------------------------
BoD       Topo     Morpho    Beh    Sex    DoI        DoB       Var_Name      Var_Value Error_Code
--------------------------------------------------------------------------------------
7         C443     80984     3      1      9/2011     2/1933    Morpho        80984     E-FORM
```

In this example the QCS gives error E-FORM because morphology should have four digits instead of five according to the ICD-O-3.

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2) Errors due to inconsistency of the dates.

- o **E-CoDA**: date of birth and date of incidence are not consistent.

```
--------------------------------------------------------------------------------
PAT  000006                               Tum  01
--------------------------------------------------------------------------------
BoD     Topo    Morpho    Beh    Sex    DoI        DoB        Var_Name        Var_Value  Error_Code
--------------------------------------------------------------------------------
7       C159    8140      3      2      12/1992    8/2016     YoB             2016       E-CoDA
```

In this example the QCS is gives error E-CoDA because the year of birth is later than the year of incidence.

```
--------------------------------------------------------------------------------
PAT  000007                               Tum  01
--------------------------------------------------------------------------------
BoD     Topo    Morpho    Beh    Sex    DoI        DoB        Var_Name        Var_Value  Error_Code
--------------------------------------------------------------------------------
7       C741    9490      3      1      2/1992     3/1992     MoB             3          E-CoDA
```

In this example the QCS gives error E-CoDA because the month of birth occurs after the year of incidence.

- o **E-CoDV**: date of the incidence and date of the last known vital status are not consistent.

```
--------------------------------------------------------------------------------
PAT  000008                               Tum  01
--------------------------------------------------------------------------------
BoD     Topo    Morpho    Beh    Sex    DoI        DoB        Var_Name        Var_Value  Error_Code
--------------------------------------------------------------------------------
2       C549    8000      3      2      8/2013     4/1933     MoI             8          E-CoDV
                                                             YoI             2013       E-CoDV
                                                             MoF             8          E-CoDV
                                                             YoF             2012       E-CoDV
```

In this example the QCS gives error E-CoDV because the date (year) of incidence occurs later than the date (year) of last known vital status.

```
--------------------------------------------------------------------------------
PAT  000009                               Tum  01
--------------------------------------------------------------------------------
BoD     Topo    Morpho    Beh    Sex    DoI        DoB        Var_Name        Var_Value  Error_Code
--------------------------------------------------------------------------------
2       C160    8000      3      1      6/2009     10/1924    MoI             6          E-CoDV
                                                             YoI             2009       E-CoDV
                                                             MoF             4          E-CoDV
                                                             YoF             2009       E-CoDV
```

In this example the QCS gives error E-CoDV because the date (month) of incidence occurs later than the date (month) of last known vital status.

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3) Errors and warnings due to tumour and demographic variables combinations.

- **E-SETO**: sex and topography combinations are not valid.

```
------------------------------------------------------------------------------------------
PAT   000010                          Tum  01
------------------------------------------------------------------------------------------
BoD    Topo    Morpho    Beh    Sex    DoI      DoB       Var_Name      Var_Value  Error_Code
------------------------------------------------------------------------------------------
2      C569    8000      3      1      10/2013  3/1935    Sex           1          E-SETO
                                                          Topo          C569       E-SETO
```

In this example the QCS returns error E-SETO because the combination topography=C569 (ovary) and sex=1 (men) is not valid.

- **W-AGMT**: age and morphology/topography combinations are unlikely.

```
------------------------------------------------------------------------------------------
PAT   000011                          Tum  01
------------------------------------------------------------------------------------------
BoD    Topo    Morpho    Beh    Sex    DoI      DoB       Var_Name      Var_Value  Error_Code
------------------------------------------------------------------------------------------
7      C424    9652      3      1      12/2003  10/2003   Age           0          W-AGMT
                                                          Morpho        9652       W-AGMT
```

In this example the QCS gives warning W-AGMT because the morphology 9652 (Hodgkin lymphoma, mixed cellularity, NOS) is unlikely between ages 0-2.

```
------------------------------------------------------------------------------------------
PAT   000012                          Tum  01
------------------------------------------------------------------------------------------
BoD    Topo    Morpho    Beh    Sex    DoI      DoB       Var_Name      Var_Value  Error_Code
------------------------------------------------------------------------------------------
7      C619    8140      3      1      3/2007   5/1996    Age           10         W-AGMT
                                                          Topo          C619       W-AGMT
                                                          Morpho        8140       W-AGMT
```

In this example the QCS gives warning W-AGMT because the topography= C619 (prostate) in combination with morphology 8140/3 (adenocarcinoma, NOS) is unlikely under the age of 40.

4) Errors and warnings due to tumour variables combinations.

- **W-MOBE**: morphology and behaviour combinations are not included in the ICD-O-3

According to Rule F of the ICD-O-3 it is exceptionally possible to have a morphology and behaviour combination not listed in the ICD-O-3, so the current version of the QCS reports as warnings such combinations. Previous versions of the QCS were reporting the morphology and behaviour combinations not listed in the ICD-O-3 as errors (E-MOBE).

```
-------------------------------------------------------------------------------
PAT  000013                              Tum  01
-------------------------------------------------------------------------------
BoD     Topo    Morpho    Beh    Sex    DoI       DoB       Var_Name    Var_Value  Error_Code
-------------------------------------------------------------------------------
7       C569    8621      3      2      4/2005    6/1982    Morpho      8621       W-MOBE
                                                            Beh         3          W-MOBE
```

In this example the QCS gives error W-MOBE because morphology=8621 (granulosa cell-theca cell tumour) with behaviour=3 (malignant tumour) is not listed in the ICD-O-3.

The combination of morphology and behaviour presented in the example above is possible, but unlikely.

```
-------------------------------------------------------------------------------
PAT  000014                              Tum  01
-------------------------------------------------------------------------------
BoD     Topo    Morpho    Beh    Sex    DoI       DoB       Var_Name    Var_Value  Error_Code
-------------------------------------------------------------------------------
7       C421    9950      1      1      12/1989   3/1921    Morpho      9950       W-MOBE
                                                            Beh         1          W-MOBE
```

In this example the QCS gives a W-MOBE warning because morphology 9950 (polycythaemia vera) has behaviour=3 (malignant tumour) in ICD-O-3.

This term (polycythaemia vera) changed from borderline tumour (behaviour=1) in ICD-O-2[16], to malignant tumour (behaviour=3) in ICD-O-3.

- o **W-BDMU**: basis of diagnosis and morphology/behaviour combinations are unlikely

```
-------------------------------------------------------------------------------
PAT  000015                              Tum  01
-------------------------------------------------------------------------------
BoD     Topo    Morpho    Beh    Sex    DoI       DoB       Var_Name    Var_Value  Error_Code
-------------------------------------------------------------------------------
6       C187    8210      2      2      11/1996   11/1922   BoD         6          W-BDMU
                                                            Beh         2          W-BDMU
```

In the example above the QCS returns warning W-BDMU because the combination behaviour=2 (in situ tumour) and base of diagnosis=6 (histology of a metastasis) is not valid.

```
-------------------------------------------------------------------------------
PAT  000016                              Tum  01
-------------------------------------------------------------------------------
BoD     Topo    Morpho    Beh    Sex    DoI       DoB       Var_Name    Var_Value  Error_Code
-------------------------------------------------------------------------------
6       C421    9823      3      2      5/2013    7/1927    Morpho      9823       W-BDMU
                                                            BoD         6          W-BDMU
```

In the example below the QCS gives warning W-BDMU because the combination base of diagnosis=6 (histology of a metastasis) and morphology (9823) coded as haematological malignancy is very unlikely. Usually haematological malignancies are diagnosed by cytology (base of diagnosis=5) or histology (base of diagnosis=7).

---

[16] International Classification of Diseases for Oncology, Second Edition. Geneva: World Health Organization, 1990.

- **W-BDMO**: morphology too specific according to the basis of diagnosis

```
-----------------------------------------------------------------------------------------
PAT  000017                                Tum  01
-----------------------------------------------------------------------------------------
BoD      Topo    Morpho    Beh    Sex    DoI        DoB        Var_Name      Var_Value  Error_Code
-----------------------------------------------------------------------------------------
2        C209    8140      1      1      10/2014    11/1928    Morpho        8140       W-BDMO
                                                              BoD           2          W-BDMO
```

In the example above the QCS returns warning W-BDMO because it is very unlikely to identify behaviour=2 (in situ tumour) if basis of diagnosis=1 (clinical).

```
-----------------------------------------------------------------------------------------
PAT  000018                                Tum  01
-----------------------------------------------------------------------------------------
BoD      Topo    Morpho    Beh    Sex    DoI        DoB        Var_Name      Var_Value  Error_Code
-----------------------------------------------------------------------------------------
2        C199    8010      2      1      10/2017    1/1937     BoD           2          W-BDMO
                                                              Beh           2          W-BDMO
```

As in the previous example, the QCS gives warning W-BDMO because it is very unlikely to identify behaviour=2 (in situ tumour) being the basis of diagnosis=2 (clinical investigation).

- **W-BDMS**: morphology not specific enough according to the basis of diagnosis

```
-----------------------------------------------------------------------------------------
PAT  000019                                Tum  01
-----------------------------------------------------------------------------------------
BoD      Topo    Morpho    Beh    Sex    DoI        DoB        Var_Name      Var_Value  Error_Code
-----------------------------------------------------------------------------------------
7        C341    8000      3      2      10/2013    5/1943     Morpho        8000       W-BDMS
                                                              BoD           7          W-BDMS
```

In this example the QCS gives warning W-BDMS because morphology= 8000 (neoplasm, malignant) is not specific enough taking into account the basis of diagnosis=7 (histology of a primary tumour).

```
-----------------------------------------------------------------------------------------
PAT  000020                                Tum  01
-----------------------------------------------------------------------------------------
BoD      Topo    Morpho    Beh    Sex    DoI        DoB        Var_Name      Var_Value  Error_Code
-----------------------------------------------------------------------------------------
7        C809    8001      3      2      5/2010     8/1934     Morpho        8001       W-BDMS
                                                              BoD           7          W-BDMS
```

Regarding the morphology and basis of diagnosis, this example is similar to the previous one. In addition, basis of diagnosis=7 (histology of a primary tumour) is not coherent with topography=C809 (unknown primary site).

     ○ **W-BTNM**: behaviour and TNM combination not valid

```
-----------------------------------------------------------------------------------------
PAT   000021                                   Tum   01
-----------------------------------------------------------------------------------------
BoD      Topo     Morpho    Beh    Sex    DoI        DoB        Var_Name      Var_Value  Error_Code
-----------------------------------------------------------------------------------------
7        C629     9061      3      1      2/2011     9/1991     Beh           3          W-BTNM
                                                               pT            is         W-BTNM
                                                               cT            9          W-BTNM
```

In this example the QCS gives warning W-BTNM because behaviour=3 (malignant tumour) is not coherent with pathological T (pT)=is (carcinoma in situ).

     ○ **W-MOGR**: morphology, behaviour and grade combinations are unlikely

```
-----------------------------------------------------------------------------------------
PAT   000022                                   Tum   01
-----------------------------------------------------------------------------------------
BoD      Topo     Morpho    Beh    Sex    DoI        DoB        Var_Name      Var_Value  Error_Code
-----------------------------------------------------------------------------------------
7        C569     8620      3      2      5/2012     7/1954     Grade         5          W-MOGR
                                                               Morpho        8620       W-MOGR
                                                               Beh           3          W-MOGR
```

The QCS gives warning W-MOGR because grade=5 (T-cell) is used to denote cell lineage for haematological malignancies (leukaemia and lymphoma). Morphology=8620 (granulosa cell tumour, malignant) is not a haematological malignancy.

```
-----------------------------------------------------------------------------------------
PAT   000023                                   Tum   01
-----------------------------------------------------------------------------------------
BoD      Topo     Morpho    Beh    Sex    DoI        DoB        Var_Name      Var_Value  Error_Code
-----------------------------------------------------------------------------------------
7        C445     9709      3      1      11/2013    4/1935     Grade         6          W-MOGR
                                                               Morpho        9709       W-MOGR
                                                               Beh           3          W-MOGR
```

In this example, the QCS gives warning W-MOGR because the morphology= 9709 (Cutaneous T-cell lymphoma, NOS) should have grade=5 (T-cell) instead of 6.

     ○ **W-MOTO**: morphology and topography combinations are unlikely

```
-----------------------------------------------------------------------------------------
PAT   000024                                   Tum   01
-----------------------------------------------------------------------------------------
BoD      Topo     Morpho    Beh    Sex    DoI        DoB        Var_Name      Var_Value  Error_Code
-----------------------------------------------------------------------------------------
7        C779     8070      3      1      12/2008    10/1946    Morpho        8070       W-MOTO
                                                               Topo          C779       W-MOTO
```

The QCS gives warning W-MOTO because topography=C779 (Lymph node, NOS) and morphology=8070 (squamous cell carcinoma, NOS); this

combination is probably a metastasis and topography should be coded as C809.

```
------------------------------------------------------------------------------------------------
PAT   000025                                    Tum   01
------------------------------------------------------------------------------------------------
BoD     Topo    Morpho    Beh    Sex    DoI        DoB        Var_Name      Var_Value   Error_Code
------------------------------------------------------------------------------------------------
7       C539    8120      3      2      11/2007    9/1959     Morpho        8120        W-MOTO
                                                              Topo          C539        W-MOTO
```

In the example above the QCS gives warning W-MOTO because topography=C539 (cervix uteri) and morphology=8120 (transitional cell carcinoma, NOS); this combination is very rare.

- o **W-TNMM**: TNM and stage are present, but morphology is not included in the TNM

```
------------------------------------------------------------------------------------------------
PAT   000026                                    Tum   01
------------------------------------------------------------------------------------------------
BoD     Topo    Morpho    Beh    Sex    DoI        DoB        Var_Name      Var_Value   Error_Code
------------------------------------------------------------------------------------------------
7       C505    9120      3      2      11/2007    3/1971     Topo          C505        W-TNMM
                                                              Morpho        9120        W-TNMM
                                                              TNM_ed        6           W-TNMM
                                                              Stage         IIB         W-TNMM
                                                              pT            3           W-TNMM
                                                              pN            0           W-TNMM
                                                              pM            0           W-TNMM
                                                              cT            9           W-TNMM
                                                              cN            9           W-TNMM
                                                              cM            9           W-TNMM
```

In the example above the QCS returns warning W-TNMM because the case is a breast angiosarcoma (morphology=9120) with stage IIB. When topography=C50 (breast) only carcinomas should be staged.

- o **W-TNMS**: TNM and stage are not consistent

```
------------------------------------------------------------------------------------------------
PAT   000027                                    Tum   01
------------------------------------------------------------------------------------------------
BoD     Topo    Morpho    Beh    Sex    DoI        DoB        Var_Name      Var_Value   Error_Code
------------------------------------------------------------------------------------------------
7       C502    8140      3      2      8/2013     6/1965     Topo          C502        W-TNMS
                                                              Morpho        8140        W-TNMS
                                                              TNM_ed        7           W-TNMS
                                                              Stage         IIIA        W-TNMS
                                                              pT            3           W-TNMS
                                                              pN            1           W-TNMS
                                                              pM            1           W-TNMS
                                                              cT            9           W-TNMS
                                                              cN            9           W-TNMS
                                                              cM            9           W-TNMS
                                                              Grade         3           W-TNMS
                                                              Age           48          W-TNMS
                                                              Beh           3           W-TNMS
```

In the example above the QCS returns warning W-TNMS because the case is a breast carcinoma with pT=3, pN=1, pM=1 and Stage=IIIA. This combination is not consistent; perhaps either pM is actually 0, or stage is equal to IV.

5) Warnings for multiple primary tumours.

```
----------------------------------------------------------
PAT   000028                                     Tum   01
----------------------------------------------------------
BoD  Topo  Morpho     Beh  Sex  DoI         DoB
----------------------------------------------------------
7    C717  8000        3    2    12/2016      12/1954
----------------------------------------------------------
----------------------------------------------------------
PAT   000028                                     Tum   02
----------------------------------------------------------
BoD  Topo  Morpho     Beh  Sex  DoI         DoB
----------------------------------------------------------
7    C717  9590        3    2    11/2016      12/1954
```

In this example, the QCS gives warning for multiple primary tumours because probably the two records are the same tumour.

```
----------------------------------------------------------
PAT   000029                                     Tum   01
----------------------------------------------------------
BoD  Topo  Morpho     Beh  Sex  DoI         DoB
----------------------------------------------------------
7    C679  8130        3    2    5/2003       1/1930
----------------------------------------------------------
----------------------------------------------------------
PAT   000029                                     Tum   02
----------------------------------------------------------
BoD  Topo  Morpho     Beh  Sex  DoI         DoB
----------------------------------------------------------
7    C809  8000        3    2    11/2010      1/1930
```

The QCS gives warning for multiple primary tumours because probably the two records are the same tumour.

```
----------------------------------------------------------
PAT   000030                                     Tum   01
----------------------------------------------------------
BoD  Topo  Morpho     Beh  Sex  DoI         DoB
----------------------------------------------------------
7    C501  8500        3    2    1/2001       7/1960
----------------------------------------------------------
----------------------------------------------------------
PAT   000030                                     Tum   02
----------------------------------------------------------
BoD  Topo  Morpho     Beh  Sex  DoI         DoB
----------------------------------------------------------
7    C508  8520        3    2    10/2002      7/1960
```

In this example, the QCS gives warning for multiple primary tumours because according to the 2004 International Rules for Multiple Primary cancer the two topographies are the same (C50), since the three first digits should be considered, and the two morphologies are included in the same morphology group. In this case, only one tumour should be considered for incidence analysis.

Annex 1 – Known JRC-ENCR QCS issues and future improvements

The following is a list of the JRC-ENCR QCS issues that will be fixed at a later stage, and future improvements that are planned. See Annex 2 below for the definition of error and warning codes.

- The QCS accepts for variable Geo_code only NUTS codes for the following Countries: Belgium, Bulgaria, Czechia, Denmark, Germany, Estonia, Ireland, Greece, Spain, France, Croatia, Italy, Cyprus, Latvia, Lithuania, Luxembourg, Hungary, Malta, Netherlands, Austria, Poland, Portugal, Romania, Slovenia, Slovakia, Finland, Sweden, United Kingdom and Switzerland. If a value is included for this variable, and the Country is not included in the list above an E-OUTR error is raised. This can be avoided by leaving the value blank. In future releases of the QCS there will be the possibility to input Geo_code values also for the remaining European Countries.

- W-TNMS is raised incorrectly when topography is "C50", TNM edition is 6, pT is equal to "is", pN is "0", pM is "0" and stage is "0".

- Options *Enable detailed output report* and *Duplicate Check Valid Records* are not working correctly, and will be fixed in the next release of the JRC-ENCR QCS.

Annex 2 – List of error and warning codes

The following is the list of error and warning codes reported in the two output files "*QCS-Incidence-Output.pdf*" and "*QCS-Incidence-Output.txt*". The page or table numbers referenced in the list are those of the 2018 update (version 1.1) of the 2014 ENCR-JRC report "*A proposal on cancer data quality checks: one common procedure for European cancer registries*".

Error codes

E-AGEC: Age is invalid or missing, and it is not possible to calculate the age by subtracting date of incidence from date of birth, since one or both dates are invalid or missing.

E-AGED: Calculated (Date of incidence – Date of birth) in years differs from variable *Age* by more than one year.

E-CoDA: Date of birth and date of incidence are not consistent, i.e. date of incidence occurs before date of birth.

E-CoDV: Date of last known vital status is not valid, e.g. when date of the incidence and date of the last known vital status are not consistent.

E-DUPL: The same patient ID/tumour ID combination is repeated in two or more records.

E-ECOD: ICD[17] edition and cause of death combination are not valid, e.g. cause of death=157 (pancreatic cancer) and ICD edition=10 (the correct value for pancreatic cancer is C25 for ICD-10, and 157 in ICD-7, ICD-8 and ICD-9). The check is performed for ICD editions from 7 to 10.

E-FORM: Format error, e.g. when a character value is used when a numeric one is required.

E-MISS: Value missing, e.g. when variable *morphology* is unknown. This applies to variables whose invalid/missing/unknown values have an impact on incidence statistics.

E-OUTR: Value out of range; value is not in agreement with the ones allowed by the 2015 call for data protocol or the 2018 update (for instance, behaviour=6).

E-RECO: The record has the wrong number of fields.

E-SETO: Sex and topography combinations are not valid (please refer to table 4 for the combinations between sex and topography considered to be unlikely).

---

[17] International Classification of Diseases (http://www.who.int/classifications/en/)

Warning codes

W-AGMT: Unlikely age and morphology/topography combination. See table 3 for the list of unlikely and rare combinations of age and tumour type.

W-BDMO: Morphology too specific according to the basis of diagnosis. See page 30 for valid combinations of basis of diagnosis and morphology.

W-BDMS: Morphology not specific enough according to the basis of diagnosis. See page 30 for valid combinations of basis of diagnosis and morphology.

W-BDMU: Basis of diagnosis and morphology/behaviour combination is unlikely. See page 30 for valid combinations of basis of diagnosis and morphology.

W-BDpM: Basis of diagnosis and pM combination is not valid. If pM is not MX and is not missing then basis of diagnosis should be 5, 7 or 6 (see page 40).

W-BDpN: Basis of diagnosis and pN combination is not valid. If pN is not NX and is not missing then basis of diagnosis should be 5 or 7 (see page 40).

W-BDpT: Basis of diagnosis and pT combination is not valid. If pT is not TX and is not missing then basis of diagnosis should be 7 (see page 40).

W-BEGR: Behaviour and grade combination is not valid. Only malignant tumours (behaviour=3) should be graded. Tumours included in the table below should also be graded[18]

W-BTNM: Invalid behaviour and TNM combination, e.g. Behaviour=3 and pT=Tis (see page 41).

W-EDIM: TNM edition and pM are not consistent. The warning is returned when TNM edition is 7 or 8, and pM or cM are "X", since this value should be "0".

W-MISS: Value missing, e.g. when variable *Autopsy* is empty. This applies to variables whose invalid/missing/unknown values don't have an impact on incidence statistics. For some of these variables is it enough to input the correct missing value (e.g. "9" for *Autopsy*) in order to avoid the warning at all.

W-MOBE: Morphology and behaviour combinations are not included in the ICD-O-3.

W-MOGR: Morphology and grade combination is unlikely (warning is given according to tables 6 and 7).

---

[18] Non malignant tumours for which grade is allowed:

Topography	Morphology	Behaviour	Grade
C65-C68	8120-8131, 8020, 8031, 8082	1, 2	1-4
Any	9384, 9421, 9383, 9394, 9412, 9506	1	1
Any	9390, 9492, 9413, 9560, 9530	0	1
Any	9505	1	1, 2
Any	9361, 9539,	1	2

W-MOTO: Morphology and topography combination is unlikely (see table 8)

W-MPMT: Multiple primary tumour (p. 42) The quality checklist of warnings for Multiple Primary Tumours was developed by the JRC according to the current International Rules for Multiple Primary Cancers published in 2004 (http://www.encr.eu/sites/default/files/pdf/MPrules_july2004.pdf), with the inclusion of behaviour 2 (in situ) and behaviour 1 (uncertain and unknown behaviour) urological tumours (C65-C68) as well as behaviour 1 and behaviour 0 (benign tumours) central nervous systems tumours (C70-C72 and C751-C753) in the multiple primary tumour checks.

W-SEMO: Sex and morphology combination is unlikely, e.g. female with seminoma. See table 5 for the list of unlikely combinations.

W-TNME: TNM and stage are present, but TNM edition is not valid or missing. The warning is returned since it is not possible to make a consistency check between TNM and stage.

W-TNMM: TNM and stage are present, but the morphology is not included in the TNM, e.g. when only carcinomas can be staged in a given topography, but stage is filled in for sarcomas.

W-TNMS: TNM and stage are not consistent, e.g. pT is 1, pN is 0, pM is 0 and stage is IV. In case both pathological (pT, pN and pM) and clinical (cT, cN and cM) TNM are provided for a tumour, the QCS will check the consistency between the pathological TNM and stage.

W-UNKN: A variable with no impact on incidence calculations, which however could be important for quality evaluations (e.g. basis of diagnosis) or survival analysis (e.g. year of follow up) has a missing value.

Annex 3 − Running the JRC-ENCR QCS in background

Overview

The JRC-ENCR QCS application can be run in two different modes or "moods". For the time being, the following "moods" are available:

- **GUI** (standard execution): open the main window and wait for user's actions
- **Silent** (background process): run in background and validate the file passed as argument

When executed in *silent* mode, the application accepts the following arguments:
-m=<mode> -f=<path_to_data_file> -s=<validation_schema>

Supported values are:
- **-m**: gui | silent
- **-f**: path to the file to be validated
- **-s**: incidence | lifetable | mortality | population

Warning

Some options are reserved for developing the application and MUST NOT be used by the final user:
- **-t**: index of the test to be executed
- **-c**: create the "checksum" files used to verify the integrity of the configuration

To acknowledge all options available from the command line, run the application with the **-h** option.

Sample scripts

The *samples* directory of the application contains two sample files showing examples of usage as a **background** process:
- **Run-qcs.bat**: example of executing the application in Windows OS
- **run-qcs.sh**: example of executing the application in Linux OS

Remark: the sample files listed above DO NOT provide complete management of possible execution errors, and DO NOT access (nor read, nor parse) the output reports produced at the end of the validation process. The actual management of the execution outcome MUST BE handled by the caller, with respect of his/her specific client's *execution context* (e.g. type of operative system, execution from webapp, execution as system service, etc.) and of the specific client's *needs and business* (e.g. validation of a single line, validation of big files, synchronous validation, asynchronous validation, etc.). These sample files are provided only to show an example of executing the application as a background process and how to intercept the possible process outcomes.

Output reports

At the end of the validation process, the application should produce all output reports in path:
<application base path>/output

Guidelines

Some of the reports produced in the *output* directory are intended to be accessed directly by the final user, therefore are formatted in a human-friendly style (PDF or TXT). If the client application needs to read, parse, analyse or process the results of the validation process, usage of the following report is recommended:

- **QCS-Incidence-Output.csv**: read this file in order to acknowledge the detailed result of the validation process, line by line. This should be the core report when the application is run as a background process

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